

2018/19 Annual Audit Plan	Dept	Audit Days Budget 2018/19
<b>KEY FINANCIAL SYSTEMS REVIEWS</b>		
Business Rates (including 100% Business Rate Pilot)	Resources	15
Community Care Payments	People	10
Council Tax	Resources	5
Creditors (inc P2P)	Resources	10
Debtors	Resources	10
Housing Benefits	Resources	10
Housing Rents & Accounting	Resources	5
Housing Repairs	Place	10
Main Accounting System	Resources	5
Parking Enforcement & Tickets	Place	10
Payments to Schools	Resources	5
Payroll	Resources	10
Pensions	Resources	10
Treasury Management	Resources	3
Follow-up of audits		10
<b>Total Key Financials Audits</b>		<b>128</b>
<b>DEPARTMENTAL RISK AUDITS</b>		
Business Relationship Team (ContrOCC)	People	10
Payments to In-house Foster Carers	People	10
Payments Against Orders / Allowances (Adoption and Special Guardianships)	People	10
SEN - To include Ombudsman upheld complaints	People	10
Schools Deficits & Surpluses (Conversation to Academy)	People	10
GDPR in Schools	People	5
Health and Safety in Schools	People	10
Decision Making in Adult Social Care	People	10
Children with Disabilities - Placement Costs and Spend Review	People	10
Adult Social Care - Financial Assessment Team	People	10
Deferred Payments (Care Act Part 2)	People	10
Voluntary Sector Commissioning (Social Care)	People	10
Energy Recharges	People	10
Air Quality Strategy, Implementation and Review	Place	10
South London Waste Partnership - Governance	Place	10
Leisure Contract Management	Place	10
Statutory Defence Against Highways & Other Claims	Place	10
Allotments	Place	10
'Live Well' (Active Lifestyle Team)	Place	10
South London Work & Health Partnership	Place	10
Parking CCTV	Place	10
Private Sector Landlords - Fire Safety	Place	10
Highways Licencing Function	Place	10
No Recourse to Public Funds	Place	10
Discretionary Housing Payments and other Discretionary Payments	Place	10
Mortuary	Place	10
Housing Void Management	Place	10

Temporary Accommodation and New Homeless Reduction Act	Place	10
Croylease	Place	10
Leasehold Service Charges - Charges to leaseholders	Place	10
Growth Zone - High Level Review	Place	10
Libraries Income Collection	Place	10
Museum - Collections Trust	Place	10
Cashiers - Cash Counting procedures	Resources	5
Brick by Brick - Governance	Resources	10
Coroners	Resources	5
General Data Protection Regulation	Resources	10
ICT Procurement Strategy	Resources	10
New Legal Services Model	Resources	10
HRA - Recycling receipts LLP Structures / Commercial Vehicles / Charities	Resources	10
Public Health Prevention Strategy	Resources	10
Engagement of Temporary Resource and monitoring of usage	Resources	30
Asbestos Management (Beyond the Corporate Campus)	Resources	10
Budget Forecasting	Resources	10
Council Investment and Operational Properties - Income Maximisation	Resources	10
Follow up of audits		40
<b>Total Departmental Risk Register Audits</b>		<b>495</b>
<b>COMPUTER AUDITS</b>		
Oracle Fusion/Cloud - Independent Project Assurance	Resources	20
Peoples ICT applications	People	30
Peoples ICT - Independent Project Assurance	People	5
Street Systems	Place	10
Bring Your Own Device	Resources	10
Capita Events Management	People	10
Third Party Support / Service Delivery	Resources	10
Windows 10	Resources	10
Access to IT Services	Resources	5
Follow up of audits		10
<b>Total Computer Audits</b>		<b>120</b>
<b>CONTRACT AUDITS</b>		
Various Contract Audits	TBA	60
New Addington Liesure Centre Procurement	Place	10
Contact Register - Tier 1 Contracts: Scorecards	Resources	10
Easy Buy Project	Resources	10
Follow-up of Contract audits		10
<b>Total Contract Audits</b>		<b>100</b>
<b>SCHOOLS AUDITS</b>		
<b>Primary Schools</b>		
Christchurch C of E Primary School	People	5
Coulsdon C of E Primary School	People	5
Keston Primary School	People	5
The Minster Junior School	People	5
Orchard Way Primary School	People	5
Parkhill Infants School	People	5
Regina Ceoli Primary School	People	5
Ridgeway Primary School and Nursery	People	5
The Hayes Primary School	People	5
Winterbourne Junior Girls School	People	5

<b>Secondary Schools</b>		
St Andrews C of E VA High School	People	7
St Mary's Catholic High School	People	7
Thomas Moore Catholic School	People	7
Virgo Fidelis Convent Senior School	People	7
Bensham Manor School	People	7
Follow-up of Schools audits		14
<b>Total Schools Audits</b>		<b>99</b>
<b>CONTINGENCY</b>		
Contingency for fraud including NFI and other ad hoc audits		53
Contingency for Grant Claims		15
<b>Total Contingency</b>		<b>68</b>
<b>ADMIN AND MANAGEMENT</b>		
Attendance at meetings, discussions, Audit Commitee etc		25
Internal Audit Strategy and Plans Development		10
Year End Summary Report (Council-wide Head of Audit Report)		5
<b>Total Admin and Management</b>		<b>40</b>
<b>GRAND TOTAL BUDGET</b>		<b>1050</b>